

STATE BANK

& Trust Company

Equal Opportunity Employer

Compliance Audit Analyst **Atlanta, GA**

Summary

Compliance Audit Analyst-Responsible for execution of test programs to monitor the effectiveness of the company's Compliance and Operational Risk management program. Assists management in compliance with research regarding appropriate policies and standards, and advises on the appropriateness or completeness actions taken with respect to weaknesses and policy breaches

Functions

- Provides support for the execution of the company's Compliance and Operational Risk program, strategy, and procedures.
- Conducts data collection, aggregation, testing and reporting
- Provides support in the assessment of Line of Business/Function Risk Management effectiveness
- Supports process mapping of significant business activities and processes, and identification of controls.
- Implementation of tools and methodologies provided under the company's Compliance and Operational Risk program
- Supports the development of Compliance and Operational Risk management training.
- Communicates and coordinates Compliance and Operational Risk activities with the LOB's.
- Ensures Compliance and Operational Risk management policies, processes, procedures, and controls are appropriately followed and are consistent with company's requirements.
- Supports communication with the business areas on Compliance and Operational Risk management, industry developments and regulatory compliance requirements.
- Assesses Compliance and Operational Risks in the business areas. Ensures risks inherent in the business area related products, systems, and services are identified, assessed, and documented through the application of the business area risk assessment process.

Education

- Bachelor's degree (B. A.) from four-year college or university.
- 3-5 years related work experience preferably working with Compliance and Operational Risk issues. Experience working with Compliance and Operational Risk issues. Good analytical skills.
- Working knowledge of regulatory agencies, requirements, and/or regulatory compliance highly desired. Working knowledge of principles and practices of accounting and financial analysis. Ability to perform multiple tasks, to work both independently and as a team member.

TO APPLY VISIT OUR WEBSITE WWW.STATEBT.COM

Employment at Will

Employment with State Bank & Trust is "at-will." This means that you or the Bank may terminate your employment at any time with or without notice or cause.

Employment Eligibility Verification

Employees are required to present proof of identity and eligibility to work in the U.S. State Bank participates in E Verify.